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PTO/SB/05 (11-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	Mo-6251/WW-5583
First Inventor	Wolfgang Dannhorn
Title	A PROCESS FOR PREPARING ALKYLHYDROXY
Express Mail Label No.	ET146886045US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. <input checked="" type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>
2. <input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27.
3. <input checked="" type="checkbox"/>	Specification [Total Pages 21] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure
4. <input type="checkbox"/>	Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. <input type="checkbox"/>	Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. <input type="checkbox"/>	Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. <input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table Computer Program (Appendix)
8. <input type="checkbox"/>	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))	<input type="checkbox"/> Power of Attorney
10. <input type="checkbox"/>	37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Copies of IDS Citations
11. <input type="checkbox"/>	English Translation Document (if applicable)	
12. <input checked="" type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449	
13. <input type="checkbox"/>	Preliminary Amendment	
14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input checked="" type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/>	Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/>	Other:	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part(CIP)	of prior application No. _____
Prior application information		Examiner	Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CUSTOMER NUMBER AND BAR CODE LABEL ADDRESS

<input checked="" type="checkbox"/>	Customer Number or Bar Code Label (Insert Customer Number or Bar Code Label here)	or	<input type="checkbox"/>	Correspondence address below
Name				
Address				
City		State	Zip Code	
Country		Telephone	Fax	

Name (Print/Type)	James R. Franks	Registration No. (Attorney/Agent)	42,552
Signature	<i>James R. Franks</i>	Date	August 3, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 750.00

Complete if Known

Application Number	To be Assigned
Filing Date	Herewith
First Named Inventor	Wolfgang Dannhorn
Examiner Name	--
Group / Art Unit	--
Attorney Docket No.	Mo-6251/WW-5583

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **13-3848**
Deposit Account Name **Bayer Corporation**

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
101 690 201 345				Utility filing fee	710.00
108 310 206 155				Design filing fee	
107 480 207 240				Plant filing fee	
108 690 208 345				Rescue filing fee	
114 150 214 75				Provisional filing fee	

SUBTOTAL (1) (\$ 710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
7	-20** = 0	X 18	40
1	-3** = 0	X 80	40
Multiple Dependent			40

**or number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)			
103 18 203 9				Claims in excess of 20
102 78 202 39				Independent claims in excess of 3
104 260 204 130				Multiple dependent claim, if not paid
109 78 209 39				** Reissue independent claims over original patent
110 18 210 9				** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
105 130 205 65				Surcharge - late filing fee or oath	0.00
127 50 227 25				Surcharge - late provisional filing fee or cover sheet	0.00
139 130 139 130				Non-English specification	0.00
147 2,520 147 2,520				For filing a request for reexamination	0.00
112 920** 112 920*				Requesting publication of SIR prior to Examiner action	0.00
113 1,840** 113 1,840*				Requesting publication of SIR after Examiner action	0.00
115 110 215 55				Extension for reply within first month	0.00
116 380 216 190				Extension for reply within second month	0.00
117 870 217 435				Extension for reply within third month	0.00
118 1,360 218 680				Extension for reply within fourth month	0.00
128 1,850 228 925				Extension for reply within fifth month	0.00
119 300 219 150				Notice of Appeal	0.00
120 300 220 150				Filing a brief in support of an appeal	0.00
121 260 221 130				Request for oral hearing	0.00
138 1,510 138 1,510				Petition to institute a public use proceeding	0.00
140 110 240 55				Petition to revive - unavoidable	0.00
141 1,210 241 605				Petition to revive - unintentional	0.00
142 1,210 242 605				Utility issue fee (or reissue)	0.00
143 430 243 215				Design issue fee	0.00
144 590 244 290				Plant issue fee	0.00
122 130 122 130				Petitions to the Commissioner	0.00
123 50 123 50				Petitions related to provisional applications	0.00
126 240 126 240				Submission of Information Disclosure Sheet	0.00
581 40 581 40				Recording each patent assignment per property (times number of properties)	40.00
146 590 246 345				Filing a submission after final rejection (37 CFR § 1.129(e))	0.00
149 590 249 345				For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify) _____					0.00
Other fee (specify) _____					0.00

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00

SUBMITTED BY

Name (Print/Type)	James R. Franks	Registration No. (Attorney/Agent)	42,552	Telephone	412-777-8349
Signature	<i>James R. Franks</i>	Date	August 3, 2001		

WARNING:

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